

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hirokazu Yamagata et al.                      Art Unit : 1762  
Serial No. : 09/852,090                                      Examiner : James Lin  
Filed : May 10, 2001                                      Conf. No. : 5147  
Title : A METHOD OF MANUFACTURING A LIGHT EMITTING DEVICE

**MAIL STOP AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the reference listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

| Desig. ID | Source   |
|-----------|--|
| AL        | Japan 11-233261 -- English Abstract: esp@ccnet.com and family to U.S. Patent No. 6,004,685 (Previously Filed). |

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (*i*) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated March 16, 2007, which is not more than three months prior to the filing of this statement, and (*ii*) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement.

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Attorney's Docket No.: 12732-037001 / US4920

The \$180 late submission fee under §1.17(p) is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 6/15/07



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|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449<br>(Modified)   | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>12732-037001 | Application No.<br>09/852,090 |
| <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br>(37 CFR §1.98(b)) |  | Applicant<br>Hirokazu Yamagata et al. |                               |
|  |  | Filing Date<br>May 10, 2001           | Group Art Unit<br>1762        |

| U.S. Patent Documents |           |                 |                  |          |       |          |                            |
|-----------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|                       | AA        |                 |                  |          |       |          |                            |
|                       | AB        |                 |                  |          |       |          |                            |
|                       | AC        |                 |                  |          |       |          |                            |
|                       | AD        |                 |                  |          |       |          |                            |
|                       | AE        |                 |                  |          |       |          |                            |
|                       | AF        |                 |                  |          |       |          |                            |
|                       | AG        |                 |                  |          |       |          |                            |
|                       | AH        |                 |                  |          |       |          |                            |
|                       | AI        |                 |                  |          |       |          |                            |
|                       | AJ        |                 |                  |          |       |          |                            |
|                       | AK        |                 |                  |          |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |           |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|-----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Sub-class | Translation |    |
|   |           |                 |                  |                          |       |           | Yes         | No |
|   | AL        | JP11-233261     | 08/27/1999       | JAPAN                    |       |           | Abstract    |    |
|   | AM        |                 |                  |                          |       |           |             |    |
|   | AN        |                 |                  |                          |       |           |             |    |
|   | AO        |                 |                  |                          |       |           |             |    |
|   | AP        |                 |                  |                          |       |           |             |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |          |
|---|-----------|----------|
| Examiner Initial  | Desig. ID | Document |
|   | AQ        |          |
|   | AR        |          |
|   | AS        |          |
|   | AT        |          |

|  |                 |
|--|-----------------|
| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |